Docket No.: P2001,0122

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : STEFFEN HORNIG ET AL.

Filed : CONCURRENTLY HEREWITH

Title : ANTI-REFLECTIVE COATING (ARC) MATERIAL,

SEMICONDUCTOR PRODUCT WITH AN ARC LAYER AND METHOD OF COATING A SEMICONDUCTOR PRODUCT WITH

AN ARC LAYER

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 6,064,524 (Oka et al.), dated May 16, 2000;

U.S. Patent No. 5,744,293 (Okumura et al.), dated April 28, 1998;

U.S. Patent No. 5,215,839 (Yu), dated June 1, 1993;

Patent Abstracts of Japan 2000-066381, dated August 20, 1998;

European Patent Application EP 0 744 662 A2 (Kusumoto et al.), dated November 27, 1996;

European Patent Application EP 0 770 579 A1 (Yamada et al.), dated May 2, 1997;

Schwerzel, R. E.: "'Capped' Colloidal Quantum-Dot Semiconductors: New Opportunities for the Design of Materials with Tailored Optical and Electronic

Properties", Georgia Tech Research Institute of Technology, Naval Research Reviews, April 1994, pp. 24-29;

International Search Report, dated August 16, 2002.

If no translation of pertinent portions of any foreign language patents or publications mentioned above is included with the aforementioned copies of those applications, patents and/or publications, it is because no existing translation is readily available to the applicant.

Respectfully submitted

For Applicants

Date: August 22, 2003

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/nt/kf

LAURENCE A. GREENBERG REG. NO. 29,308

FORM PTO-1449 (SUBSTITUTE)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				Attorney Docket No.: P2001,0122 Appl. No.:				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))				Applicant: STEFFEN HORNIG ET AL.				
				Filing Date: August 22, 2003 Group Art Unit:				
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EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILIN	
	Α	6,064,524	5/16/00	Oka et al.				
	В	5,744,293	4/28/98	Okumura et al.				
	С	5,215,839	6/1/93	Yu				
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		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRAN YES I	
	J	2000-066381	8/20/98	Japan				
	К	0 744 662 A2	11/27/96	Europe				
	L	0 770 579 A1	5/2/97	Europe				
	М							
	N							
OTHER	DOC	CUMENTS (Inclu	iding Auth	nor, Title, Date, f	Pertinent	Pages,	etc.)	
		Schwerzel, R. E.: "Capped' Colloidal Quantum-Dot Semiconductors: New Opportunities for the Design of Materials with Tailored Optical and Electronic Properties", Georgia Tech Research Institute of Technology, Naval Research Reviews, April 1994, pp. 24-29						
EXAMINER				DATE CONSIDERED				